

University of Wisconsin System
for the period of May 1, 2010 through April 30, 2011

Faculty and Academic Staff Report on Outside Activities and Interests
(as required under Section UWS 8.025 Wisconsin Administrative Code)

Name _____ Department/Unit _____

Faculty _____ Academic Staff _____ Percent University Appointment _____

Signature _____ Date _____

Please read the Guidelines for Reporting Outside Activities on the attachment of this form and fill in the required information below. Completed forms should be forwarded to your department chair or unit director.

- I have read the Guidelines and do not have any remunerative outside activities in my field of professional interest to report. (If you check this box, forward the signed form to your chair/director.)

A. Remunerative Relationships

- I have received net remuneration for professional outside activities in my field of professional interest (e.g., consulting, research, teaching, writing, etc.) List below the name of the organization or business, type of activity (e.g., consulting, teaching, etc.) and the aggregate amount of time spent (days) in the activity, and whether you received \$5000 or more from a single source.

Name of Business* Or Organization	Type of Activity	Time Spent (days)	Check if More Than \$5000 From a Single Source
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

* If you believe that you should not publicly identify the name of the organization, you must receive approval from your dean, as indicated by the dean's signature below (e.g., if revealing the name would be damaging to the organization's legitimate competitive interests).

- I have received compensation from a nongovernmental sponsor of university research, teaching or training for which I am a principal investigator.

Name of sponsor: _____

B. Offices and Directorships

Identify below any business or other organization related to your field of academic interest or professional specialization for which you or your immediate family served as an officer, director, or trustee. No identification need to be made of professional societies, trusts, or charitable, religious, social, community service, or political organizations.

Name of Business/Organization	City and State	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____

C. Ownership Interests

List below any business or organization related to your field in which you or a member of your immediate family individually, or in aggregate, owned or controlled at least 10% of the outstanding equity.

Name of Business/Organization	City and State
_____	_____
_____	_____
_____	_____

I have reviewed the information itemized above:

Signature of Chair/Director _____ Date _____

Signature of Dean/Designee _____ Date _____

University of Wisconsin System

Guidelines for Reporting Outside Activities Under UWS 8.025

(Guidelines approved by Board of Regents May 10, 1991 – Regent Policy Document 20-7)

Who must report and what must be reported?

It is the policy of the University of Wisconsin System to require a report from all unclassified staff (**faculty, academic staff and limited appointees**) who engage in remunerative outside activities in their field of professional interest whose appointments are half-time or more for the period under contract to the UW System. If you have a joint appointment, you must file a report for each department/unit. Your report covers activities that occur during the full year, even if you were not under contract to the university for part of that time. You should discuss with your dean or director any activities that may present carryover questions of conflict with your responsibilities during your contract period.

For purposes of the reporting form:

A **remunerative relationship** is any relationship that results in payments, transfer of goods, or provision of services to the reporting staff member.

Net remuneration includes the value of all payments, goods and services received as compensation for an activity less expenses. Expenses deducted should not exceed those that could ordinarily be claimed from university administered funds.

An **organization** is any corporation, partnership, proprietorship, firm, enterprise, franchise, association, trust or the legal entity other than an individual or body politic (see UWS 8.02(12), Wisconsin Administrative Code).

Professionally related activities are activities related to the staff members' field of academic interest or specialization.

Ordinary professional activities are those activities which extend an unclassified staff member's normal institutional responsibilities of teaching, research and service to serving other public institutions, organizations, and professional societies. Examples of such ordinary professional activities would be:

- a. Occasional lectures, colloquia, seminars, etc., given at colleges and universities and at meetings of professional societies.
- b. Preparation of monographs, chapters and editorial services to nonprofit educational organizations.
- c. Service on advisory committees and evaluation panels for government funding agencies, nonprofit foundations and educational organizations.
- d. Leadership positions in professional societies.

Those activities which are reportable under UWS 8.025 include:

1. Professionally related outside activities occurring during the time you are under contract to the university for which you received net remuneration, not including ordinary professional activities.
2. The names of organizations or business for which net remunerative outside activities were performed, the type of activity (consulting, teaching, research, writing, etc.), and the aggregate time spent (days) in that activity.
 - Royalties from writing and patents need be reported only in the year that they first appear.
3. You must name the organization or source and indicate the aggregate amount of time in days spent in outside activities with that organization. If you received \$5,000.00 or more compensation per year from a single source, you must check the last column of section A.
 - If you believe you should not publicly identify the name of the organization, you must receive approval from your dean to withhold the name (e.g., if revealing the name would be damaging to the organization's legitimate competitive interests).
4. Any remunerative relationships that you had with organizations which sponsor university research, teaching or training in which you are involved. If these relationships exist, you must report the name of the organization.
5. Officerships, directorships, trusteeships that you or members of your immediate family hold in businesses or commercial organizations related to your professional field.
6. Ownership interests in organizations related to your academic area of specialization, provided that your immediate family collectively owns more than 10% of the equity.

Those activities which need not be reported include:

1. Activities for which remuneration comes from university administered funds (e.g., teaching and innovation awards, etc.).
2. Remunerative ordinary professional activities (see definitions above).

3. Instruction at another nonprofit educational institution or research supported by a government agency if the instruction or research is performed during periods when the staff member is not on the university payroll, or, in the case of part-time appointment, if the work is performed during time not contracted to the university.

Regent Policy Doc 20-7
(Regent Resolution 5785):
(adopted 5/10/91)

Whereas, the Board of Regents recognizes the importance of accountability to the people of Wisconsin and believes that it is in the interest of both the public and the UW System to assure public confidence in the integrity of UW System faculty and staff, and

Whereas, UW System policies regarding public reporting of outside interests and activities required by UWS 8 of the Wisconsin Administrative Code are among the most extensive among universities in the nation, and

Whereas, the Regents wish to support and encourage UW faculty and staff to share their expertise and intents with agriculture, business, industry, and the state's communities.

Therefore, the Board of Regents approves the "UW System Guidelines and Form for Reporting Outside Activities as Required by UWS 8.025, Wisconsin Administrative Code," and directs the UW System President to implement these revised procedures at all UW institutions effective for the reporting period ending April 30, 1992.

UWS 8.025 Outside activities and interests; reports

(1) Outside activities

Members of the unclassified staff are free to engage in outside activities, whether or not such activities are remunerative or related to staff members' fields of academic interest or specialization. However, no member of the unclassified staff may engage in outside activity if it conflicts with his or her public responsibilities to the university of Wisconsin system or the institution at which the unclassified staff member is employed.

(2) Reportable outside activities.

- (a) The following outside activities must be reported to a staff member's dean, director or other appropriate administrator:

1. Associations with organizations, as defined in s.UWS 8.02 (3) and (12), related to staff members' fields of academic interest or specialization;
2. Private remunerative relationships between staff member and non-governmental sponsors of university research for which the staff member is a principal investigator; and
3. Remunerative outside activities in a staff member's field of academic interest or specialization, including but not limited to consulting, and whether the staff member earns for such activities \$5,000 or more in a year from a single source.

- (b) Each unclassified staff member engaging in outside activities reportable under this section shall annually, on or before April 30, file a report of outside activities with his or her dean, director or other appropriate administrator.

- (c) If, during the year, significant changes in a staff member's reportable outside activities occur, the staff member shall immediately inform, in writing, his or her dean, director or other appropriate administrator. This information shall be placed on file with the staff member's annual statement of outside interests.

(3) Institutional policies.

The unclassified staff members of each institution, with the approval of the chancellor, shall develop policies and procedures which shall, at a minimum provide:

- (a) Standard concerning the use of university facilities and personnel in connection with outside activities;
- (b) Standards concerning absence from regular duties for the purpose of engaging in outside activities
- (c) Guidelines identifying types of categories of outside activities which may result in a material conflict of interest; and
- (d) For such reports of anticipated outside activities as are necessary to insure compliance with s.UWS 8.04.

(4) Reports public.

Information required to be reported under this section shall, unless otherwise privileged by law, be a matter of public record.

Note: This section addresses outside activities. Several explanatory points provide relevant background.

1. The section addresses matters heretofore handled under UWS 7 and 14, which are accordingly repealed. This change in the scope of UWS 8 was made in response to legislative suggestion.
2. The rule recognizes the right of unclassified staff members to engage in outside activities, whether or not

the activities are remunerative or related to staff members' fields of academic interest. However, in order to assure that such activities do not conflict with staff members' responsibilities to the university of Wisconsin system, or the institution at which the staff member serves, the rule provides that certain types of activities must be reported to staff members' deans, directors or other appropriate administrators. These reportable outside activities include associations with organizations related to staff members' fields of academic interest or specialization, certain private remunerative relationships between staff members and non-governmental research sponsors, and remunerative outside activities in staff members' fields of academic interest or specialization and whether the staff member earns \$5,000 or more in a year from a single source. The language retains the requirements currently in UWS 7 and 14 that Institutions develop policies to regulate the use of university facilities in connection with outside activities, and adds a similar requirement pertaining to use of personnel. It provides a more explicit requirement for institutional development of standards on absence from university duties, and for reports on outside activities which staff members anticipate they will perform during the academic year.

3. Guidelines and suggestions will be provided to institutions for implementation of the Regent rule. These guidelines would include examples of standards concerning use of university facilities and an absence from university duties that might be adopted under UWS 8.025 (3) (c); reporting procedures under UWS 8.025 (4); and other relevant information.
4. The rule incorporates and codifies the result of litigation between the Madison Capital Times and the UW-Madison. That case, decided in Dane County Circuit Court in the spring of 1983, held that UW-Madison reports of outside activities required under UWS 7 and 14 were records open to public inspection. This section continues the existence of the reporting requirements (UWS 8.025 (2) a declares the public nature of the reports UWS 8.0254 (4), except in those instances where other legal principles establish a privilege for the information contained therein.
5. UWS 8.025 (1) indicates that the Regents' purpose is not to dictate the financial or topical terms of activity but to ensure that it does not present conflicts of interest or time with university duties. [See also Note to UWS 8.01 (3)].
6. Forms required under this section for the reporting of outside activities may be obtained from the Office of the Secretary to the Board of Regents, Room 1860 Van Hise Hall, 1220 Linden Drive, Madison, Wisconsin 53706, at no charge.

FINANCIAL DISCLOSURE - FEDERAL AGENCIES
for the period of May 1, 2010 through April 30, 2011

Name _____ Department/Unit _____

Faculty _____ Academic Staff _____ Percent University Appointment _____

Signature _____ Date _____

I and/or my immediate family received or expect to receive payments totaling \$10,000 or more per year from a single for-profit business enterprise that would reasonably appear to be affected by my research or educational activities which are or may be funded by federal agencies.

- NO
- YES, please provide the name of the entity making payment(s), and the type and amount of payment(s)

I and/or my immediate family have ownership interests of 5% or greater, or ownership interest totaling \$10,000 or more, in a single for-profit business enterprise that would reasonably appear to be affected by my research or educational activities which are or may be funded by federal agencies.

- NO
- YES, please provide the name of the entity making payment(s), and the type and amount of payment(s)
