**Export Controls Regulations**

* 1. Introduction (Music)
  2. Export Controls Overview Slide
     1. “What are Export Control Regulations?”
     2. “They ae federal regulations that apply to all U.S. persons and entities. They help control the export of items, information and software, for reasons related to national security and U.S. foreign policy.”
     3. “If rules are not followed, violation may occur: where there could be penalties, which can include jail time or fines ranging from $250-$1 million.”
     4. ‘O wow! That’s a lot of dough.”
  3. Export Mission to Mars Slide
     1. “An “Export” happens when an actual shipment or transmission of items ships out of the United States.”
     2. “These items might be: a physical shipment, such as a laptop.”
     3. “An export will also occur if you exchange controlled technology with a foreign person within the U.S. or abroad through: email, server access from a foreign country, visual inspection, or oral exchange.”
  4. Control Station Slide
     1. “As part of the University, most research is exempt from export license requirements.”
     2. “But remember this exemption only covers information resulting from basic and applied research in science and engineering. It does not cover physical or controlled technology items.”
     3. “This information is usually published and shared throughout the scientific community.”
     4. “But if your research has publication or foreign national access restrictions, you will no longer be qualified for the exclusion for fundamental research.”
  5. Fundamental Research Slide
     1. “Fundamental research does not include: tangible exports, such as hardware or software technology,”
     2. “Export controlled technology or technical data received from a sponsor or third party.”
     3. “Export controlled services such as a defense service.”
     4. “Along with any research involving creation or use of certain encryption source code, when the research involves nations of: Cuba, Iran, North Korea, Sudan, and Syria.”
     5. “Lastly, transactions involving sanctioned countries, individual and entities, and restricted end-users must be reviewed for licensing requirements.”
  6. Questions Slide
     1. “If you have questions regarding if you fall under the Fundamental Research Exclusion or if you require a license, please contact your authorities for approval. This might include: your direct supervisor, Dean, director, department head, or division head.”
     2. “Remember you can download additional information for review on the UW-Whitewater web page.”
  7. Ending Slide
     1. “Thank you for watching!”
     2. “Watch our next video for more information about export control regulations.”
     3. “You are truly out of this world!”